

DHS BUDGET REQUEST FOR FY 2016-2017
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:
Pp. A1.20, A3.7, C1.10, E30.6, E30.9, E30.27,
E30.29, E30.31, E30.39

APPROPRIATION:
Intellectual Disabilities - Community Base Program

I. SUMMARY FINANCIAL DATA

	2014-2015 Actual	2015-2016 Available	2016-2017 Budgeted
State Funds	\$149,681	\$153,561 ¹	\$158,914
Federal Funds Total	\$61,409	\$59,801	\$59,659
Federal Sources Itemized			
<i>Medical Assistance - Community ID Services</i>	\$53,958	\$52,350	\$52,208
<i>SSBG - Community ID Services</i>	\$7,451	\$7,451	\$7,451
Other Funds	\$0	\$0	\$0
Total	\$211,090	\$213,362	\$218,573

IA. REQUESTED SUPPLEMENTALS (Included above)

State Funds	\$5,332 ¹
Federal Funds	\$0
Total	\$5,332

¹ Act 10-A of 2015 included State appropriation authority of \$148.229 million for Fiscal Year 2015-2016. However, the Governor's Executive Budget for Fiscal Year 2016-2017 reflects a \$5.332 million supplemental request for a revised Fiscal Year 2015-2016 State appropriation of \$153.561 million.

II. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION:
Intellectual Disabilities - Community Base Program

	2014-2015 Actual	2015-2016 Available	2016-2017 Budgeted	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Personnel	\$0	\$0	\$0	\$0	0.00%
OPERATING					
State Funds	\$10,961	\$11,461	\$8,676	(\$2,785)	-24.30%
Federal Funds	\$15,769	\$12,443	\$8,155	(\$4,288)	-34.46%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Operating	\$26,730	\$23,904	\$16,831	(\$7,073)	-29.59%
FIXED ASSETS					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	\$0	\$0	\$0	\$0	0.00%
GRANT & SUBSIDY					
State Funds	\$138,720	\$142,100	\$150,238	\$8,138	5.73%
Federal Funds	\$45,140	\$46,098	\$50,008	\$3,910	8.48%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Grant & Subsidy	\$183,860	\$188,198	\$200,246	\$12,048	6.40%
NONEXPENSE					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Nonexpense	\$0	\$0	\$0	\$0	0.00%
BUDGETARY RESERVE					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$500	\$1,260	\$1,496	\$236	18.73%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	\$500	\$1,260	\$1,496	\$236	18.73%
UNCOMMITTED					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Uncommitted	\$0	\$0	\$0	\$0	0.00%
EXCESS FEDERAL					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Excess Federal	\$0	\$0	\$0	\$0	0.00%
TOTAL FUNDS					
State Funds	\$149,681	\$153,561	\$158,914	\$5,353	3.49%
Federal Funds	\$61,409	\$59,801	\$59,659	(\$142)	-0.24%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Funds	\$211,090	\$213,362	\$218,573	\$5,211	2.44%

APPROPRIATION:
Intellectual Disabilities - Community Base Program

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2013-2014	2014-2015	2015-2016 Estimated
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	12/31/2014	12/31/2015	2016-2017 Budgeted
State/Federally Funded			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
Federally Funded			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
Other Funded			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
Total			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
Benefit Rate	N/A	N/A	N/A

V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA

Derivation of Request:

The Fiscal Year 2016-2017 Governor's Executive Budget provides for maintenance of the current program. It provides continued funding for the Fiscal Year 2015-2016 "Human Services Enhancement" Initiative to provide additional supports to promote competitive employment opportunities for individuals with intellectual disabilities. It provides continued funding for the Fiscal Year 2015-2016 "Human Services Funding Restoration" Initiative which began to restore Fiscal Year 2012-2013 funding cuts. It also provides for the Fiscal Year 2016-2017 "Human Services Funding Restoration" Initiative which provides funding to restore one-third of Fiscal Year 2012-2013 funding cuts. Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

Legislative Citations:

62 P.S. §§ 201, 206; MH/ID Act of 1966, 50 P.S. § 4101 et seq.; Title XIX of the Social Security Act, 42 U.S.C. § 1396 et seq.; 62 P.S. § 441.1 et seq.; 62 P.S. § 1401-B et seq.

Disbursement Criteria:

Disbursements are based on allocations developed by the Department and are advanced on a quarterly basis to administrative entities. Payments for Medicaid eligible supports coordination are based on invoices submitted by providers through the Provider Reimbursement and Operations Management Information System (PROMISe). Additionally, services provided under the terms of various administrative contracts are reimbursed as invoices are submitted to the Department and approved for payment.

VI. EXPLANATION OF CHANGES
(\$ Amounts in Thousands)

APPROPRIATION:
Intellectual Disabilities - Community Base Program

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
OPERATING				
1. Provides for a decrease in funding for a contract with Mercer Health & Benefits, LLC for cost reporting and auditing service, which includes rate development and budget analysis; stakeholder communication and training; and administrative oversight:	(\$279)	(\$279)	\$0	(\$558)
2. Provides for a decrease in funding resulting from the expiration of a fiscal governance process contract with Alvarez & Marsal Holdings, LLC on September 30, 2016:	(\$1,688)	(\$1,688)	\$0	(\$3,376)
3. Provides for a decrease in funding for a contract with Deloitte Consulting, LLP that included transferring server information to the cloud:	(\$36)	(\$1,150)	\$0	(\$1,186)
4. Provides for a decrease in funding for a contract with Public Consulting Group, Inc. for gathering requirements and the preparation of a written business plan of Home and Community Services Information System enhancements to meet Centers for Medicare and Medicaid Services (CMS) assurances and to test the completed system for compliance:	(\$24)	(\$15)	\$0	(\$39)
5. Provides for a decrease in funding for three contracts and a Memorandum of Understanding with Temple University for the management of the certified investigation training program; the management and operation of the medication administration train-the-trainer program; to provide communication assessments of deaf Consolidated Waiver participants and individuals on the waiting list in the emergency category, as well as assessment support and quality control; and for the collection of quality initiative information from individuals receiving supports through the Office of Developmental Programs and the analysis and reporting of findings:	(\$3)	(\$604)	\$0	(\$607)
6. Provides for a decrease in funding for a contract with Ingenesis, Inc. for contracted staff:	(\$51)	(\$192)	\$0	(\$243)
7. Provides for an increase in funding for a contract with Ascend Management Innovations, LLC for Supports Intensity Scale (SIS) & Prioritization of Urgency of Need for Services (PUNS) Plus standardized statewide assessments of all current and future Waiver participants:	\$4	\$4	\$0	\$8
8. Provides for a decrease in funding for contracts with Columbus Medical Services, LLC to develop, conduct, and maintain standardized training; and provide support for developmental disabilities training programs for professionals within the statewide developmental disabilities service system:	(\$496)	(\$307)	\$0	(\$803)

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Intellectual Disabilities - Community Base Program			
	State \$	Federal \$	Other \$	Total \$
OPERATING (Continued)				
9. Provides a decrease in funding for a contract with Tuscarora Intermediate Unit #11 for training courses, general communication and information, outreach, and mentorship to individuals with developmental disabilities and their families regarding the programs and services available, how to access and navigate the system, non-service related supports available, and policy and regulation changes that affect the developmental disabilities service system, and for the Pennsylvania Disability Employment and Empowerment Summit:	(\$229)	(\$207)	\$0	(\$436)
10. Provides an increase in funding for a contract with the University of Massachusetts for a web-based learning management system (LMS) that supports all of ODP's training. The LMS houses web-based training and certification courses, provides a vehicle for registration for courses and conferences, etc.:	\$225	\$228	\$0	\$453
11. Impact of a one-time-only Fiscal Year 2015-2016 contract with Chorus Call, Inc. for video conferencing services between central, regional, and State Center staff as well as for State Center residents to contact family:	(\$21)	(\$21)	\$0	(\$42)
12. Impact of committed/unallocated Fiscal Year 2015-2016 funding:	(\$187)	(\$57)	\$0	(\$244)
Subtotal Operating	(\$2,785)	(\$4,288)	\$0	(\$7,073)
GRANT & SUBSIDY				
1. Impact of a transfer of \$0.010 million in State funds from ongoing base maintenance to county administration independent monitoring teams with resultant increase in matching Federal funds:	\$0	\$10	\$0	\$10
2. Impact of the transfer of financial management services, agency with choice services, and organized health care delivery system administrative costs from the Waiver program to the Community Base program in Fiscal Year 2016-2017:	\$3,281	\$2,913	\$0	\$6,194
3. Provides for the impact of a decrease in the Federal Medical Assistance Percentage (FMAP) rate from 52.01 percent to 51.78 percent, effective October 1, 2016, for Medicaid eligible supports coordination services billed through the Provider Reimbursement and Operations Management Information System (PROMISe). The full-year blended State fiscal year rate decreases from 51.9625 percent in Fiscal Year 2015-2016 to 51.8375 percent in Fiscal Year 2016-2017:	\$25	(\$25)	\$0	\$0
Subtotal Grant & Subsidy	\$3,306	\$2,898	\$0	\$6,204

VI. EXPLANATION OF CHANGES
 (\$ Amounts in Thousands)

APPROPRIATION:
 Intellectual Disabilities - Community Base Program

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
BUDGETARY RESERVE				
1. Change in Budgetary Reserve:	<u>\$0</u>	<u>\$236</u>	<u>\$0</u>	<u>\$236</u>
Subtotal Budgetary Reserve	\$0	\$236	\$0	\$236
INITIATIVES				
GRANT & SUBSIDY				
1. Human Services Funding Restoration:				
A. Provides funding to restore one-third of the cut to seven human services appropriations to offset Fiscal Year 2012-2013 funding cuts:				
	<u>\$4,832</u>	<u>\$1,012</u>	<u>\$0</u>	<u>\$5,844</u>
Subtotal Initiatives	<u>\$4,832</u>	<u>\$1,012</u>	<u>\$0</u>	<u>\$5,844</u>
TOTAL	<u><u>\$5,353</u></u>	<u><u>(\$142)</u></u>	<u><u>\$0</u></u>	<u><u>\$5,211</u></u>

Appropriation: Intellectual Disabilities - Community Base Program

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
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COMMUNITY BASE SERVICES (Non-Block Grant):

MAINTENANCE

State/Carryover	\$37,090	\$37,090	\$37,080	(\$10)	-0.03%	This funding is non-block grant and cannot be commingled with other funds
State/Carryover FY 11-12 O-T-O Reallocation	\$0	\$0	\$0	\$0	—	
State/Carryover Conversions to Waiver	(\$214)	(\$428)	(\$428)	\$0	0.00%	Provides a decrease in funding for annualization of costs for 11 individuals that converted from the Base Program to the Waiver Program during Fiscal Year 2014-2015
State/Carryover SSBG Backfill	\$0	\$0	\$0	\$0	—	
Fed - SSBG	\$2,813	\$2,813	\$2,813	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$39,689	\$39,475	\$39,465	(\$10)	-0.03%	

PENNHURST DISPERSAL

State/Carryover (Ineligible)	\$163	\$163	\$163	\$0	0.00%	Provides Base Program funding which was merged from the Pennhurst Dispersal appropriation into the Community ID program beginning in Fiscal Year 2009-2010
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$163	\$163	\$163	\$0	0.00%	

TARGETED CASE MANAGEMENT (FORMERLY SUPPORTS COORDINATION)

State/Carryover	\$2,947	\$2,947	\$2,947	\$0	0.00%	Provides case management services for individuals who are not Medicaid eligible
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$2,947	\$2,947	\$2,947	\$0	0.00%	

MEDICAID-ELIGIBLE SUPPORTS COORDINATION (FORMERLY TARGETED SERVICE MANAGEMENT (TSM))

State/Carryover	\$9,780	\$9,838	\$9,838	\$0	0.00%	Provides supports coordination for Medicaid-eligible individuals not enrolled in the Waiver program
State Backfill - MA	\$0	\$0	\$25	\$25	—	
Fed - MA	\$10,699	\$10,641	\$10,616	(\$25)	-0.23%	COMMITMENT ITEM # 6602000 - Grants & Pay to Indiv
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$20,479	\$20,479	\$20,479	\$0	0.00%	

TARGETED SERVICE MANAGEMENT - ADMINISTRATION

State/Carryover	\$838	\$838	\$838	\$0	0.00%	COMMITMENT ITEM # 6602000 - Grants & Pay to Indiv
Fed - MA	\$838	\$838	\$838	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$1,676	\$1,676	\$1,676	\$0	0.00%	

ADMINISTRATIVE COSTS FOR FINANCIAL MANAGEMENT SERVICES, AGENCY WITH CHOICE AND ORGANIZED HEALTH CARE DELIVERY SYSTEM

State/Carryover	\$0	\$0	\$3,281	\$3,281	—	Provides for a transfer of costs from the Waiver Program for the administration of financial management services and Agency-With-Choice services for consumers that self-direct all or some of their services, and for Organized Health Care Delivery System administrative per transaction fee
Fed - MA	\$0	\$0	\$2,913	\$2,913	—	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$0	\$0	\$6,194	\$6,194	—	

COUNTY ADMINISTRATION (Non-Block Grant):

COUNTY ADMINISTRATION

ON-GOING						
State/Carryover	\$10,057	\$10,057	\$10,057	\$0	0.00%	
Fed - MA	\$10,057	\$10,057	\$10,057	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$20,114	\$20,114	\$20,114	\$0	0.00%	

HEALTH CARE QUALITY UNITS (HCQU)

State/Carryover	\$1,819	\$1,819	\$1,819	\$0	0.00%	Provides for the health care management of people living in licensed residential programs by medical professionals experienced in providing medical care to people with intellectual disabilities
Fed - MA	\$1,593	\$1,593	\$1,593	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$3,412	\$3,412	\$3,412	\$0	0.00%	

INDEPENDENT MONITORING TEAMS

State/Carryover	\$596	\$596	\$606	\$10	1.68%	Independent Monitoring Teams (IMTs) in the counties/joiners to conduct quality of life and family and consumer satisfaction reviews of contracted services in the counties
Fed - MA	\$596	\$596	\$606	\$10	1.68%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$1,192	\$1,192	\$1,212	\$20	1.68%	

TOTAL COUNTY ADMINISTRATION (NON-BLOCK GRANT)

State/Carryover	\$12,472	\$12,472	\$12,482	\$10	0.08%	
Fed - MA	\$12,246	\$12,246	\$12,256	\$10	0.08%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Total County Administration	\$24,718	\$24,718	\$24,738	\$20	0.08%	

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Reconnim vs 2015-2016 Available	Percent Change	Notes
TOTAL COMMUNITY BASED SERVICES (NON-BLOCK GRANT)						
State/Carryover	\$63,076	\$62,920	\$66,201	\$3,281	5.21%	
State Backfill - MA	\$0	\$0	\$25	\$25	---	
Fed - SSBG	\$2,813	\$2,813	\$2,813	\$0	0.00%	
Fed - MA	\$23,783	\$23,725	\$26,623	\$2,898	12.21%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Total Community Based Services	\$89,672	\$89,458	\$95,662	\$6,204	6.94%	
HUMAN SERVICES BLOCK GRANT PILOT						
State/Carryover	\$80,466	\$80,466	\$80,466	\$0	0.00%	This pilot increased from 20 counties in Fiscal Year 2012-2013 to 30 counties in Fiscal Year 2013-2014
State/Carryover Conversions to Waiver FY 14-15	(\$822)	(\$1,572)	(\$1,572)	\$0	0.00%	
State/Carryover Conversions to Waiver FY 15-16	\$0	(\$546)	(\$546)	\$0	0.00%	
Fed - MA	\$13,910	\$13,910	\$13,910	\$0	0.00%	Provides a decrease in funding for the annualization of 18 individuals that converted from the Base Program to the Waiver Program during Fiscal Year 2014-2015
Fed - SSBG	\$4,638	\$4,638	\$4,638	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$98,192	\$96,896	\$96,896	\$0	0.00%	Provides a decrease in funding for the conversion of five individuals from the Base Program to the Waiver Program, effective July 1, 2015
OPERATING						
VENDOR: DISABILITY RIGHTS NETWORK OF PENNSYLVANIA CONTRACT: ADVOCACY SERVICES CONTRACT						
State	\$139	\$139	\$139	\$0	0.00%	Provides for statewide advocacy services to individuals receiving home and community-based services
Federal MA	\$134	\$134	\$134	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$273	\$273	\$273	\$0	0.00%	COMMITMENT ITEM # 6343100 - Specialized Services
CIVIL SERVICE BILLING:						
State	\$150	\$150	\$150	\$0	0.00%	
Federal MA	\$150	\$150	\$150	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$300	\$300	\$300	\$0	0.00%	COMMITMENT ITEM # 6399999 - Other Operating Expenses
VENDOR: MERCER HEALTH & BENEFITS, LLC CONTRACT: COST REPORTING AND AUDITING CONTRACT						
State	\$1,310	\$1,039	\$760	(\$279)	-26.85%	Provides for rate development and budget analysis, stakeholder communication and training, and administrative oversight.
Federal MA	\$1,310	\$1,039	\$760	(\$279)	-26.85%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$2,620	\$2,078	\$1,520	(\$558)	-26.85%	COMMITMENT ITEM # 6343100 - Specialized Services
VENDOR: ALVAREZ & MARSAL HOLDINGS, LLC CONTRACT: FISCAL GOVERNANCE PROCESS CONTRACT						
State	\$1,449	\$2,090	\$402	(\$1,688)	-80.77%	Provides funding for the establishment of an overarching DHS fiscal governance process, perform analytics and develop internal resources to better understand Departmental cost drivers, improve budgetary controls and achieve cost containment targets Expires October 2016
Federal MA	\$1,449	\$2,090	\$402	(\$1,688)	-80.77%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$2,898	\$4,180	\$804	(\$3,376)	-80.77%	COMMITMENT ITEM # 6343100 - Specialized Services
VENDOR: DELOITTE CONSULTING, LLP CONTRACT IMPLEMENTATION AND MANAGEMENT SUPPORT (HCSIS & PELICAN) CONTRACT						
State	\$1,030	\$950	\$914	(\$36)	-3.79%	Provides funding for HCSIS and PELICAN system enhancements to meet CMS assurances, and for server information to be transferred to the cloud
Federal MA	\$3,109	\$2,850	\$1,700	(\$1,150)	-40.35%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$4,145	\$3,800	\$2,614	(\$1,186)	-31.21%	COMMITMENT ITEM # 6341214 - IT Consulting and Application Development
VENDOR: PUBLIC CONSULTING GROUP, INC CONTRACT IMPLEMENTATION AND MANAGEMENT SUPPORT (HCSIS) CONTRACT						
State	\$271	\$295	\$271	(\$24)	-8.14%	Provided funding for gathering requirements and the preparation of a written business plan of HCSIS enhancements to meet CMS assurances and to test the completed system for compliance
Federal MA	\$814	\$829	\$814	(\$15)	-1.81%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$1,085	\$1,124	\$1,085	(\$39)	-3.47%	COMMITMENT ITEM # 6341215 - IT Con App Main Sup

\$ Amounts in Thousands	2016-2017		2016-2017		Percent Change	Notes
	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	Gov's Recommen vs 2015-2016 Available		
OPERATING (Continued)						
VENDOR: TEMPLE UNIVERSITY						
CONTRACTS: CERTIFIED INVESTIGATOR TRAINING CONTRACT ¹ MEDICATION ADMINISTRATION TRAIN THE TRAINER CONTRACT ² HARRY M V DPW LITIGATION SETTLEMENT WITH DISABILITY RIGHTS NETWORK (DRN) - COMMUNICATION ASSESSMENTS ³ MEMORANDUM OF UNDERSTANDING FOR IM4Q QUALITY INITIATIVE INFORMATION COLLECTION ⁴						
State	\$894	\$1,315	\$1,312	(\$3)	-0.23%	*Provides for the management of the certified investigation training program, which includes implementation, maintenance, support, and ongoing content review and updates **Provides for the management and operation of the medication administration train-the-trainer program. Vendor is responsible for maintaining all online course materials, tests, and resources, delivery of training, tests, and materials to training candidates, implementation of the medication administration recertification course plan, manage training related logistics, user support, technical assistance, and track, analyze, and report on training related metrics ***Provides for communication assessments of deaf Consolidated Waiver participants and individuals on the waiting list in the emergency category and for communication assessment support and quality control ****Provides for the collection of quality initiative information from individuals receiving supports through the Office of Developmental Programs, analyze and report findings COMMITMENT ITEM # 6343100 - Specialized Services
Federal MA	\$894	\$1,263	\$659	(\$604)	-47.82%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$1,788	\$2,578	\$1,971	(\$607)	-23.56%	
INTERAGENCY AGREEMENT WITH DEPARTMENT OF AGING:						
State	\$20	\$21	\$21	\$0	0.00%	Provides for a joint project with the Department of Aging (DOA) to ensure that seniors with intellectual disabilities have access to services provided by DOA COMMITMENT ITEM # 6343100 - Specialized Services
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$20	\$21	\$21	\$0	0.00%	
LITIGATION COSTS:						
State	\$50	\$50	\$50	\$0	0.00%	
Federal MA	\$50	\$50	\$50	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$100	\$100	\$100	\$0	0.00%	COMMITMENT ITEM # 6342100 - Legal Services/Fees
VENDOR: NATIONAL ASSOCIATION FOR THE DUALY DIAGNOSED						
CONTRACT/S: NADD CONTRACT						
State	\$51	\$66	\$66	\$0	0.00%	Provides for independent monitoring, quarterly, written reports, site visits and consultations, and the evaluation of efficacy of services to individuals in response to the Jimmie v DPW litigation COMMITMENT ITEM # 6343100 - Specialized Services
Federal MA	\$0	\$0	\$0	\$0	—	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$51	\$66	\$66	\$0	0.00%	
VENDOR: INGENESIS, INC.						
CONTRACT: OFFICE OF DEVELOPMENTAL PROGRAMS CONTRACTED STAFF CONTRACT						
State	\$1,175	\$1,239	\$1,188	(\$51)	-4.12%	Provides for contracted staff to perform quality management, administrative entity oversight, risk management, clinical, and fiscal duties COMMITMENT ITEM # 6343100 - Specialized Services
Federal MA	\$1,175	\$1,360	\$1,188	(\$192)	-13.91%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$2,350	\$2,619	\$2,376	(\$243)	-9.28%	
VENDOR: ASCEND MANAGEMENT INNOVATIONS, LLC						
CONTRACT: SIS & PUNS PLUS CONTRACT						
State	\$1,550	\$1,550	\$1,554	\$4	0.26%	Provides for standardized statewide assessments of all current and future Waiver participants COMMITMENT ITEM # 6343100 - Specialized Services
Federal MA	\$1,550	\$1,550	\$1,554	\$4	0.26%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$3,100	\$3,100	\$3,108	\$8	0.26%	
VENDOR: AMERICAN ASSOCIATION ON INTELLECTUAL AND DEVELOPMENTAL DISABILITIES						
CONTRACT: SIS ASSESSMENT COPYRIGHTED TOOL USE CONTRACT						
State	\$58	\$58	\$58	\$0	0.00%	Provides for the use of the copyrighted software materials for standardized assessments of all current and future Waiver participants COMMITMENT ITEM # 6344302 - Software Lic Maint
Federal MA	\$58	\$58	\$58	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$116	\$116	\$116	\$0	0.00%	

			2016-2017		Percent Change	Notes
	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available		
OPERATING (Continued)						
VENDOR: COLUMBUS MEDICAL SERVICES, LLC						
CONTRACTS. CERTIFIED INVESTIGATION TRAINING PROGRAM WEBSITE HOST CONTRACT TRAINING AND SUPPORT FOR DEVELOPMENTAL DISABILITIES SYSTEM PROFESSIONALS CONTRACT ¹						
State	\$611	\$1,070	\$574	(\$496)	-46.36%	Provides for the hosting of the Certified Investigation training website until the new IT Platform is in place Contract expired during Fiscal Year 2014-2015 ¹ Provides for developing, conducting, and maintaining standardized training, and providing support for DD training programs for professionals within the statewide DD service system COMMITMENT ITEM # 6343100 - Specialized Services
Federal MA	\$53	\$307	\$0	(\$307)	-100.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$664	\$1,377	\$574	(\$803)	-58.32%	
VENDOR: TUSCARORA INTERMEDIATE UNIT #11						
CONTRACT TRAINING AND CERTIFICATION CONTRACT ¹ TRAINING AND SUPPORT FOR DEVELOPMENTAL DISABILITIES (DD) SYSTEM PARTICIPANTS AND FAMILIES CONTRACT ² PENNSYLVANIA DISABILITY EMPLOYMENT AND EMPOWERMENT SUMMIT CONTRACT ³						
State	\$1,551	\$597	\$368	(\$229)	-38.36%	¹ Provides for certification training of 2,500 State, county and provider staff in the area of investigation of serious incidents in ODP licensed facilities and the development of an instrument to assess the quality of investigations conducted, development of a training curriculum for service managers, and training of 1,000 county service managers Contract expired during Fiscal Year 2014-2015 ² Provides for training courses, general communication and information, outreach, and mentorship to individuals with DD and their families regarding the programs and services available, how to access and navigate the system, non-service related supports available, and policy and regulation changes that affect the DD service system ³ Provides for a disability employment and empowerment summit the Office of Developmental Programs will be hosting on behalf of the Governor's Cabinet for People with Disabilities COMMITMENT ITEM # 6343100 - Specialized Services
Federal MA	\$1,340	\$575	\$368	(\$207)	-36.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$2,891	\$1,172	\$736	(\$436)	-37.20%	
VENDOR: TRUVEN HEALTH ANALYTICS						
CONTRACT FUTURES PLANNING CONTRACT						
State	\$25	\$0	\$0	\$0	—	Provides for project management functions to support the Office of Developmental Programs futures planning initiative as set forth by former Secretary Mackereith COMMITMENT ITEM # 6343100 - Specialized Services
Federal MA	\$25	\$0	\$0	\$0	—	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$50	\$0	\$0	\$0	—	
VENDOR: G. DAVID SMITH, Ph.D.						
CONTRACT. LITIGATION SETTLEMENT FOR SPARROW AND TOMESVILLE						
State	\$5	\$7	\$7	\$0	0.00%	Provides in-home behavioral therapy services as required by a litigation settlement agreement. Agreement states that these services, which are not included under the Waiver, are to continue as long as they are in the appellant's service plans. COMMITMENT ITEM # 6343100 - Specialized Services
Federal MA	\$0	\$0	\$0	\$0	—	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$5	\$7	\$7	\$0	0.00%	
VENDOR: UNIVERSITY OF MASSACHUSETTS						
CONTRACT INFORMATION TECHNOLOGY PLATFORM/LEARNING MANAGEMENT SYSTEM CONTRACT						
State	\$500	\$93	\$318	\$225	241.94%	Provides for a web-based learning management system (LMS) that will support all of ODP's training including certified investigation, medication administration, DD Participants' and Families' training and support, DD Professionals' training and support, Employment Summit, etc. The LMS will house web-based training and certification courses, provide a vehicle for registration for courses and conferences, etc. COMMITMENT ITEM # 6341214 - IT Consulting and Application Development
Federal MA	\$500	\$90	\$318	\$228	253.33%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$1,000	\$183	\$636	\$453	247.54%	
VENDOR: CHORUS CALL, INC						
CONTRACT VIDEO CONFERENCING CONTRACT						
State	\$0	\$21	\$0	(\$21)	-100.00%	Provides for video conferencing services between central, regional, and State Center staff as well as for State Center residents to contact family. The monthly service fee is for assisted video conferencing services, site testing and certification, bridging services, joining of video from different bandwidth transmissions, and technical support COMMITMENT ITEM # 6343100 - Specialized Services
Federal MA	\$0	\$21	\$0	(\$21)	-100.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	—	
Subtotal	\$0	\$42	\$0	(\$42)	-100.00%	

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017		Percent Change	Notes
				Gov's Recomm vs 2015-2016 Available			
OPERATING (Continued)							
VENDOR: ROBERT DAY, Ph.D.							
CONTRACT: LITIGATION SETTLEMENT FOR CONFLICT RESOLUTION BETWEEN BENJAMIN CLASS MEMBERS AND DHS CONTRACT							
State	\$0	\$24	\$24	\$0	0.00%		Provides for conflict resolution services as required by a litigation settlement. Vendor will provide services to DHS on an as needed basis.
Federal MA	\$0	\$0	\$0	\$0	--		
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	--		
Subtotal	\$0	\$24	\$24	\$0	0.00%		COMMITMENT ITEM # 6343100 - Specialized Services
FISCAL YEAR 2015-2016 INITIATIVE: HUMAN SERVICES ENHANCEMENT							
INTERAGENCY AGREEMENT WITH THE DEPARTMENT OF LABOR & INDUSTRY							
State	\$0	\$500	\$500	\$0	0.00%		Provides funding, in collaboration with OVR in the Department of L & I, to provide additional supports to promote competitive employment opportunities for individuals with ID.
Federal MA	\$0	\$0	\$0	\$0	--		
Subtotal	\$0	\$500	\$500	\$0	0.00%		COMMITMENT ITEM # 6343100 - Specialized Services
COMMITTED/UNALLOCATED OPERATING							
State	\$116	\$187	\$0	(\$187)	-100.00%		
Federal MA	\$3,158	\$57	\$0	(\$57)	-100.00%		
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	--		
Subtotal	\$3,274	\$244	\$0	(\$244)	-100.00%		COMMITMENT ITEM # 6343100 - Specialized Services
TOTAL OPERATING							
Subtotal Operating - State	\$10,961	\$11,461	\$8,676	(\$2,785)	-24.30%		
Subtotal Operating - Federal	\$15,769	\$12,443	\$8,155	(\$4,288)	-34.46%		
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	--		
Total Current Operating	\$26,730	\$23,904	\$16,831	(\$7,073)	-29.59%		

COMMITTED/UNALLOCATED							
Committed/Unallocated - State/Carryover							
Fed - MA	\$0	\$0	\$0	\$0	--		
Subtotal	\$0	\$0	\$0	\$0	--		
Committed/Unallocated - State/Carryover							
Fed - MA	\$0	\$0	\$0	\$0	--		
Subtotal	\$0	\$0	\$0	\$0	--		
Committed/Unallocated - State/Carryover							
Fed - MA	\$0	\$0	\$0	\$0	--		
Subtotal	\$0	\$0	\$0	\$0	--		
Committed/Unallocated - State/Carryover							
Fed - MA	\$0	\$0	\$0	\$0	--		
Subtotal	\$0	\$0	\$0	\$0	--		
Subtotal Commit./Unalloc. - State	\$0	\$0	\$0	\$0	--		
Subtotal Commit./Unalloc. - Fed MA	\$0	\$0	\$0	\$0	--		
Subtotal	\$0	\$0	\$0	\$0	--		
FISCAL YEAR 2016-2017 INITIATIVE: HUMAN SERVICES FUNDING RESTORATION							
State	\$0	\$0	\$4,832	\$4,832	--	Provides funding to restore one-third of the cut to seven human services appropriations to offset Fiscal Year 2012-2013 funding cuts.	
Federal MA	\$0	\$0	\$1,012	\$1,012	--		
Subtotal	\$0	\$0	\$5,844	\$5,844	--		

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
FISCAL YEAR 2015-2016 INITIATIVE: HUMAN SERVICES FUNDING RESTORATION						
State	\$0	\$4,832	\$4,832	\$0	0.00%	Represents 1/3 of the 10 percent cut that was made in Fiscal Year 2012-2013 when the Human Services Block Grant was established
Federal MA	\$0	\$1,012	\$1,012	\$0	0.00%	
Subtotal	\$0	\$5,844	\$5,844	\$0	0.00%	
FEDERAL FUNDS ADJUSTMENT						
Fed - MA	(\$4)	\$0	\$0	\$0	--	
COMMITTED/UNALLOCATED FEDERAL						
Fed - MA	\$0	\$0	\$0	\$0	--	Unallocated Federal Medical Assistance appropriation authority
BUDGETARY RESERVE						
State	\$0	\$0	\$0	\$0	--	
Federal MA	\$500	\$1,260	\$1,496	\$236	18.73%	
Subtotal	\$500	\$1,260	\$1,496	\$236	18.73%	
EXCESS FEDERAL						
State	\$0	\$0	\$0	\$0	--	
Federal MA	\$0	\$0	\$0	\$0	--	
Subtotal	\$0	\$0	\$0	\$0	--	
GRAND TOTALS						
Total State/Carryover	\$153,681	\$157,561	\$162,889	\$5,328	3.38%	
Total State Backfill - MA	\$0	\$0	\$25	\$25	--	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	--	
Total Federal	\$61,409	\$59,801	\$59,659	(\$142)	-0.24%	
TOTAL	\$215,090	\$217,362	\$222,573	\$5,211	2.40%	
State	\$149,681	\$153,561	\$158,914	\$5,353	3.49%	
Fed - MA	\$53,958	\$52,350	\$52,208	(\$142)	-0.27%	
Fed - SSBG	\$7,451	\$7,451	\$7,451	\$0	0.00%	
Subtotal Fed	\$61,409	\$59,801	\$59,659	(\$142)	-0.24%	
Total State/Fed/Other	\$211,090	\$213,362	\$218,573	\$5,211	2.44%	
Carryover - State	\$4,000	\$4,000	\$4,000	\$0	0.00%	
Total	\$215,090	\$217,362	\$222,573	\$5,211	2.40%	

INTELLECTUAL DISABILITIES - COMMUNITY BASE PROGRAM

PROGRAM STATEMENT

The Mental Health and Intellectual Disability Act of 1966 mandated the availability of community services for citizens with an intellectual disability and their families. The statute created county administered community programs in Pennsylvania for individuals with an intellectual disability.

In 1966, all services were provided in state institutions which cared for over 13,000 people with an intellectual disability. At the end of Fiscal Year 2014-2015, there were 948 people remaining in State Centers while more than 52,000 people received services in the community. This change has followed the national trend to provide community services as an alternative to institutional care. Changes in federal and state laws, as well as new funding initiatives, have created education programs, family support services, employment training, and home and community-based services for people with disabilities. These trends are built on the recognized values of family relationships and participation in community life.

The Intellectual Disabilities – Community Base Program provides funding for services to individuals of all ages with an intellectual disability diagnosis who are not eligible for the Intellectual Disabilities - Community Waiver Program and to Medicaid-eligible individuals not yet enrolled in the Waiver program. About 16,000 people received Community Base Program services in Fiscal Year 2014-2015. Some of the services provided include supports coordination, family aide, family respite care, education training, recreational therapy and recreation/leisure time activities, vocational therapy, dental hygienic, employment training, and home modifications. The services available for individuals enrolled in the Community Base Program are comparable to the services received by individuals enrolled in the Waivers.

In order to assure that people with intellectual disabilities receive the best physical and behavioral health services, there are eight Health Care Quality Units (HCQUs) that together serve all counties in the Commonwealth. The HCQUs serve as the entities responsible to County/Joinder Mental Health/Intellectual Disabilities programs for the overall health status of individuals (Waiver and non-Waiver) receiving services in the county/joinder programs. The physical and behavioral health knowledge and competencies of service providers and supports coordinators, other county personnel, families, consumers, and community medical providers are enhanced through training and technical assistance on physical and behavioral health related issues as identified by the HCQUs through monitoring.

The Independent Monitoring for Quality (IM4Q) process measures the quality of supports and services within the intellectual disabilities system using nationally recognized methods. The IM4Q surveys are administered under contract with individual County/Joinder Mental Health/Intellectual Disabilities programs. As part of the IM4Q process, independent monitoring teams, which are free of conflict of interest, conduct interviews with individuals (Waiver and non-Waiver) receiving services and families, and report back to the County/Joinder Mental Health/Intellectual Disabilities program for purposes of continuous quality improvement. The IM4Q information is entered directly by independent monitoring programs into the Home and Community Services Information System with assurance that the information remains confidential and secure. The IM4Q monitoring fulfills the federal requirement to have a quality management program for Medicaid waivers.

In Fiscal Year 2012-2013, a 20 county Human Services Block Grant pilot program was implemented to provide local governments with increased flexibility to address local needs. Under the pilot program, funding for the following seven programs was combined at the local level into a flexible Human Services Block Grant: Human Services Development Fund, Community Mental Health Services, Behavioral Health Services, Intellectual Disability Community Base Services, County Child Welfare Special Grants, Homeless Assistance Programs, and Act 152 Drug and Alcohol Services. The 20 county pilot was expanded in Fiscal Year 2013-2014, allowing additional counties to participate on a voluntary

basis. Ten counties were added in Fiscal Year 2013-2014 bringing the total number of Block Grant Counties to 30. No additional expansion occurred in Fiscal Year 2014-2015 or Fiscal Year 2015-2016.

FISCAL YEAR 2016-2017 INITIATIVE – HUMAN SERVICES FUNDING RESTORATION

The Fiscal Year 2016-2017 “Human Services Funding Restoration” initiative will restore an additional one-third of the 10 percent reduction initially made to county programs during Fiscal Year 2012-2013 when the Human Services Block Grant was established. This represents the second year in the effort to fully restore the 10 percent cut over a three-year period. The Governor’s Executive Budget for Fiscal Year 2016-2017 includes a total of \$5.844 million (\$4.832 million in State funds) in the Intellectual Disabilities – Community Base Program for this purpose.