

DHS BUDGET REQUEST FOR FY 2016-2017
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:
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E30.29, E30.39 - E30.40

APPROPRIATION:
Intellectual Disabilities - Community Waiver Program

I. SUMMARY FINANCIAL DATA

	<u>2014-2015 Actual</u>	<u>2015-2016 Available</u>	<u>2016-2017 Budgeted</u>
State Funds	\$1,074,887	\$1,211,993 ¹	\$1,283,376
Federal Funds Total	\$1,214,992	\$1,279,651	\$1,335,919
Federal Sources Itemized			
<i>Medical Assistance - Community ID Waiver Program</i>	\$1,214,992	\$1,279,651	\$1,335,919
Other Funds	\$0	\$0	\$0
Total	\$2,289,879	\$2,491,644	\$2,619,295

IA. REQUESTED SUPPLEMENTALS (Included above)

State Funds	\$9,310 ¹
Federal Funds	\$0
Total	\$9,310

¹ Act 10-A of 2015 included State appropriation authority of \$1,202.683 million for Fiscal Year 2015-2016. However, the Governor's Executive Budget for Fiscal Year 2016-2017 reflects a \$9.310 million supplemental request for a revised Fiscal Year 2015-2016 State appropriation of \$1,211.993 million.

II. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION:
Intellectual Disabilities - Community Waiver Program

	2014-2015 Actual	2015-2016 Available	2016-2017 Budgeted	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Personnel	\$0	\$0	\$0	\$0	0.00%
OPERATING					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Operating	\$0	\$0	\$0	\$0	0.00%
FIXED ASSETS					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	\$0	\$0	\$0	\$0	0.00%
GRANT & SUBSIDY					
State Funds	\$1,074,887	\$1,211,993	\$1,283,376	\$71,383	5.89%
Federal Funds	\$1,149,942	\$1,211,465	\$1,270,111	\$58,646	4.84%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Grant & Subsidy	\$2,224,829	\$2,423,458	\$2,553,487	\$130,029	5.37%
NONEXPENSE					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Nonexpense	\$0	\$0	\$0	\$0	0.00%
BUDGETARY RESERVE					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$65,050	\$68,186	\$65,808	(\$2,378)	-3.49%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	\$65,050	\$68,186	\$65,808	(\$2,378)	-3.49%
UNCOMMITTED					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Uncommitted	\$0	\$0	\$0	\$0	0.00%
EXCESS FEDERAL					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Excess Federal	\$0	\$0	\$0	\$0	0.00%
TOTAL FUNDS					
State Funds	\$1,074,887	\$1,211,993	\$1,283,376	\$71,383	5.89%
Federal Funds	\$1,214,992	\$1,279,651	\$1,335,919	\$56,268	4.40%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Funds	\$2,289,879	\$2,491,644	\$2,619,295	\$127,651	5.12%

APPROPRIATION:
Intellectual Disabilities - Community Waiver Program

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2013-2014	2014-2015	2015-2016 Estimated
State Funds	\$232	\$0	\$0

IV. COMPLEMENT INFORMATION	12/31/2014	12/31/2015	2016-2017 Budgeted
State/Federally Funded			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
Federally Funded			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
Other Funded			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
Total			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
Benefit Rate	N/A	N/A	N/A

V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA

Derivation of Request:

The Fiscal Year 2016-2017 Governor's Executive Budget provides for maintenance of the current program, including annualization of the Fiscal Year 2015-2016 transfer of 75 individuals from the State Centers for the Intellectually Disabled program to the Waiver program, the transfer of 77 individuals from the Private ICF/ID program to the Waiver program, the community placement of 400 individuals from the emergency waiting list and day programs for 600 special education graduates.

It provides for the Fiscal Year 2016-2017 conversion of 13 beds from the ICF/ID program to the Waiver program.

It also provides for a Fiscal Year 2016-2017 initiative entitled "Community Opportunities" which includes funding for the community placement of 75 individuals from State Centers for the Intellectually Disabled and a Fiscal Year 2016-2017 initiative entitled "Expanded Services for Individuals with Disabilities" which provides home and community-based services for 250 individuals on the waiting list and 500 special education graduates.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

Legislative Citations:

62 P.S. §§ 201, 206; Title XIX of the Social Security Act, 42 U.S.C. § 1396 et seq.; 62 P.S. § 441.1 et seq.

Disbursement Criteria:

Disbursements are based on invoices for Waiver services rendered that providers submit for payment through the Provider Reimbursement and Operations Management Information System (PROMISE).

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Intellectual Disabilities - Community Waiver Program			
	State \$	Federal \$	Other \$	Total \$
GRANT & SUBSIDY				
1. Provides for the Fiscal Year 2016-2017 conversion of 13 individuals from the Private Intermediate Care Facility/Intellectual Disabilities (ICF/ID) program to Waiver Program:				
A. Seven individuals from the Indian Creek Private ICF/ID, effective July 1, 2016:	\$360	\$342	\$0	\$702
B. Six individuals from the Old Skippack Private ICF/ID, effective July 1, 2016:	<u>\$363</u>	<u>\$351</u>	<u>\$0</u>	<u>\$714</u>
Subtotal	\$723	\$693	\$0	\$1,416
2. Provides for the annualization of the Fiscal Year 2015-2016 conversion of 77 individuals from the Private Intermediate Care Facility/Intellectual Disabilities (ICF/ID) program to the Waiver program:				
A. Forty-six individuals from the Elwyn/Main Private ICF/ID, effective November 1, 2015:	\$920	\$998	\$0	\$1,918
B. Twenty-four individuals from the Barber Private ICF/ID, effective July 1, 2015:	\$0	\$0	\$0	\$0
C. Four individuals from the CSS/Center Road Private ICF/ID, effective July 1, 2015:	\$0	\$0	\$0	\$0
D. Three individuals from the CSS/Broadview Private ICF/ID, effective September 1, 2015:	<u>\$60</u>	<u>\$59</u>	<u>\$0</u>	<u>\$119</u>
Subtotal	\$980	\$1,057	\$0	\$2,037
3. Provides for the annualization of the Fiscal Year 2015-2016 "Human Services Waiting List Reductions" Initiative:				
A. Provides funding for the community placement of 75 individuals from State Centers for the Intellectually Disabled into the Consolidated Waiver program:	\$2,312	\$5,782	\$0	\$8,094
B. Provides funding for the community placement of 400 individuals from the emergency waiting list:	\$2,363	\$5,215	\$0	\$7,578
C. Provides funding for day program services for 600 special education graduates:	<u>\$40</u>	<u>\$43</u>	<u>\$0</u>	<u>\$83</u>
Subtotal	\$4,715	\$11,040	\$0	\$15,755
4. Provides continued funding for the Fiscal Year 2014-2015 "Expanded Services for Older Pennsylvanians and Individuals with Disabilities" Initiative:				

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Intellectual Disabilities - Community Waiver Program			
	State \$	Federal \$	Other \$	Total \$
GRANT & SUBSIDY (Continued)				
A. Provides for a funding shift for the community placement of 50 individuals from State Centers for the Intellectually Disabled from a separate line item to the various service category lines:	(\$2,685)	(\$4,695)	\$0	(\$7,380)
B. Provides an increase in funding for the community placement of 400 individuals from the emergency waiting list:	\$1,068	\$872	\$0	\$1,940
C. Provides an increase in funding for day program services for 700 special education graduates:	153	166	\$0	319
Subtotal	(\$1,464)	(\$3,657)	\$0	(\$5,121)
5. Provides continued funding for the Fiscal Year 2013-2014 "Expanded Services for Individuals with Disabilities" Initiative:				
A. Funding for the Community placement of 100 individuals from State Centers for the Intellectually Disabled is included in the various service category lines:	\$0	\$0	\$0	\$0
B. Provides an increase in funding for the community placement of 373 individuals from the emergency waiting list:	\$994	\$929	\$0	\$1,923
C. Provides an increase in funding for day program services for 518 special education graduates:	16	17	\$0	33
Subtotal	\$1,010	\$946	\$0	\$1,956
6. Provides for a funding shift for the Fiscal Year 2012-2013 Community placement of 430 individuals from the emergency waiting list from a separate line item to the various service category lines:	(\$15,631)	(\$15,636)	\$0	(\$31,267)
7. Provides for a funding shift for the Fiscal Year 2012-2013 day program services for 700 special education graduates from a separate line item to the various service category lines:	(\$5,621)	(\$6,080)	\$0	(\$11,701)
8. Provides funding for an increase in on-going residential service costs based on various group home sizes, as follows:				
A. Provides funding for residential - 5 or more person homes, which includes funding for the impact of a change in utilization and an increase in the rates paid to providers:	(\$12,109)	(\$11,184)	\$0	(\$23,293)
B. Provides funding for residential - 4 person homes, which includes funding for the impact of a change in utilization and an increase in the rates paid to providers:	(\$31,360)	(\$28,822)	\$0	(\$60,182)

VI. EXPLANATION OF CHANGES
(\$ Amounts in Thousands)

APPROPRIATION:
Intellectual Disabilities - Community Waiver Program

	State \$	Federal \$	Other \$	Total \$
GRANT & SUBSIDY (Continued)				
C. Provides funding for residential - 3 person homes, which includes funding for the impact of a change in utilization and an increase in the rates paid to providers:	\$26,229	\$25,019	\$0	\$51,248
D. Provides funding for residential - 2 person homes, which includes funding for the impact of a change in utilization and an increase in the rates paid to providers:	\$35,323	\$33,040	\$0	\$68,363
E. Provides funding for residential - 1 person homes, which includes funding for the impact of a change in utilization and an increase in the rates paid to providers:	\$16,330	\$15,370	\$0	\$31,700
Subtotal	\$34,413	\$33,423	\$0	\$67,836
9. Provides for the estimated impact of an anticipated change in utilization of the following services:				
A. Provides for an increase in utilization of behavioral support services:	\$935	\$1,010	\$0	\$1,945
B. Provides for an increase in utilization of companion services:	\$3,211	\$3,473	\$0	\$6,684
C. Provides for an increase in utilization of employment services:	\$692	\$748	\$0	\$1,440
D. Provides for an increase in utilization of home and community habilitation unlicensed services:	\$4,766	\$5,155	\$0	\$9,921
E. Provides for a decrease in utilization of homemaker/chore services:	(\$10)	(\$12)	\$0	(\$22)
F. Provides for an increase in utilization of licensed day habilitation services:	\$10,825	\$11,710	\$0	\$22,535
G. Provides for an increase in utilization of nursing services:	\$10,707	\$11,581	\$0	\$22,288
H. Provides for an increase in utilization of prevocational services:	\$604	\$654	\$0	\$1,258
I. Provides for an increase in utilization of residential habilitation - other services:	\$15,296	\$16,547	\$0	\$31,843
J. Provides for a decrease in utilization of supports coordination:	(\$3)	(\$3)	\$0	(\$6)
K. Provides for a decrease in utilization of outcome-based vendor services:	(\$237)	(\$256)	\$0	(\$493)
L. Provides for an increase in utilization of respite services:	\$392	\$425	\$0	\$817

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Intellectual Disabilities - Community Waiver Program			
	State \$	Federal \$	Other \$	Total \$
GRANT & SUBSIDY (Continued)				
M. Provides for an increase in utilization of transportation services:	\$973	\$1,053	\$0	\$2,026
Subtotal	\$48,151	\$52,085	\$0	\$100,236
10. Provides for a decrease related to exceptions to the medical and therapeutic vacancy factor:	(\$10,500)	\$0	\$0	(\$10,500)
11. Provides for an increase for the on-going costs of day and support services for individuals enrolled in the Person/Family Directed Supports Waiver:	\$6,564	\$7,100	\$0	\$13,664
12. Provides for the impact of shifting funding related to the fiscal workgroup litigation reserve for the settlement agreement with stakeholders regarding the vacancy and retention factors and the suspension of a rate adjustment factor from a separate line to including the costs in the residential service categories listed above:	(\$15,701)	(\$16,989)	\$0	(\$32,690)
13. Provides for a decrease in funding for potential settlement costs associated with provider appeals:	(\$3,026)	(\$3,274)	\$0	(\$6,300)
14. Provides for the impact of a decrease in the Federal Medical Assistance Percentage (FMAP) rate from 52.01 percent to 51.78 percent, effective October 1, 2016 (full State fiscal year blended rate decreases from 51.9625 percent to 51.8375 percent):	\$3,773	(\$3,773)	\$0	\$0
15. Impact of the loss of enhanced Federal funding associated with the Fiscal Year 2014-2015 "Balancing Incentive Program" Initiative, which expired on September 30, 2015:	\$10,544	(\$10,544)	\$0	\$0
Subtotal Grant & Subsidy	\$58,930	\$46,391	\$0	\$105,321
BUDGETARY RESERVE				
1. Change in budgetary reserve:	\$0	(\$2,378)	\$0	(\$2,378)
Subtotal Budgetary Reserve	\$0	(\$2,378)	\$0	(\$2,378)
INITIATIVES				
GRANT & SUBSIDY				
1. Community Opportunities:				
A. Provides funding for the community placement of 75 individuals from State Centers for the Intellectually Disabled into the Consolidated Waiver program:	\$955	\$2,378	\$0	\$3,333

VI. EXPLANATION OF CHANGES
 (\$ Amounts in Thousands)

APPROPRIATION:
 Intellectual Disabilities - Community Waiver Program

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
INITIATIVES (Continued)				
2. Expanded Services for Individuals with Disabilities:				
A. Provides funding for home and community-based services for an additional 250 individuals who are on the waiting list:	\$8,725	\$6,900	\$0	\$15,625
B. Provides funding for home and community-based services for an additional 500 students graduating from special education:	<u>\$2,773</u>	<u>\$2,977</u>	<u>\$0</u>	<u>\$5,750</u>
Subtotal	<u>\$11,498</u>	<u>\$9,877</u>	<u>\$0</u>	<u>\$21,375</u>
Subtotal Initiatives	<u>\$12,453</u>	<u>\$12,255</u>	<u>\$0</u>	<u>\$24,708</u>
TOTAL	<u><u>\$71,383</u></u>	<u><u>\$56,268</u></u>	<u><u>\$0</u></u>	<u><u>\$127,651</u></u>

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
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CONSOLIDATED WAIVER

RESIDENTIAL - 5 OR MORE PERSON HOMES

Individuals Served	1,029	1,029	876	(153)	-14.87%
State (Ineligible)	\$6,306	\$6,304	\$4,534	(\$1,770)	-28.08%
State (Eligible)	\$37,759	\$38,388	\$28,049	(\$10,339)	-26.93%
State Backfill - MA	\$0	\$0	\$73	\$73	---
Fed - MA	\$41,309	\$41,524	\$30,267	(\$11,257)	-27.11%
State 0.00% COLA	\$0	\$0	\$0	\$0	---
Subtotal	\$85,374	\$86,216	\$62,923	(\$23,293)	-27.02%

RESIDENTIAL - 4 PERSON HOMES

Individuals Served	3,443	3,443	3,359	(84)	-2.44%
State (Ineligible)	\$24,754	\$24,744	\$20,029	(\$4,715)	-19.06%
State (Eligible)	\$148,083	\$150,550	\$123,905	(\$26,645)	-17.70%
State Backfill - MA	\$0	\$0	\$322	\$322	---
Fed - MA	\$162,007	\$162,851	\$133,707	(\$29,144)	-17.90%
State 0.00% COLA	\$0	\$0	\$0	\$0	---
Subtotal	\$334,844	\$338,145	\$277,963	(\$60,182)	-17.80%

RESIDENTIAL - 3 PERSON HOMES

Individuals Served	5,327	5,327	5,717	390	7.32%
State (Ineligible)	\$38,218	\$38,203	\$41,309	\$3,106	8.13%
State (Eligible)	\$228,616	\$233,426	\$256,549	\$23,123	9.91%
State Backfill - MA	\$0	\$0	\$667	\$667	---
Fed - MA	\$250,111	\$254,580	\$278,932	\$24,352	9.57%
State 0.00% COLA	\$0	\$0	\$0	\$0	---
Subtotal	\$516,945	\$526,209	\$577,457	\$51,248	9.74%

RESIDENTIAL - 2 PERSON HOMES

Individuals Served	2,404	2,404	3,168	764	31.78%
State (Ineligible)	\$17,202	\$17,195	\$21,973	\$4,778	27.79%
State (Eligible)	\$103,661	\$105,368	\$135,933	\$30,545	28.98%
State Backfill - MA	\$0	\$0	\$353	\$353	---
Fed - MA	\$113,408	\$113,999	\$146,686	\$32,687	28.67%
State 0.00% COLA	\$0	\$0	\$0	\$0	---
Subtotal	\$234,271	\$236,582	\$304,945	\$68,363	28.90%

RESIDENTIAL - 1 PERSON HOMES

Individuals Served	1,851	1,851	1,888	37	2.00%
State (Ineligible)	\$11,603	\$11,599	\$13,719	\$2,120	18.28%
State (Eligible)	\$69,503	\$70,660	\$84,870	\$14,210	20.11%
State Backfill - MA	\$0	\$0	\$221	\$221	---
Fed - MA	\$76,037	\$76,434	\$91,583	\$15,149	19.82%
State 0.00% COLA	\$0	\$0	\$0	\$0	---
Subtotal	\$157,143	\$158,693	\$190,393	\$31,700	19.98%

BEHAVIORAL SUPPORT

Individuals Served	5,537	5,537	5,892	355	6.41%
State (Ineligible)	\$0	\$0	\$0	\$0	---
State (Eligible)	\$7,027	\$9,008	\$9,943	\$935	10.38%
State Backfill - MA	\$0	\$0	\$26	\$26	---
Fed - MA	\$7,687	\$9,745	\$10,729	\$984	10.10%
State 0.00% COLA	\$0	\$0	\$0	\$0	---
Subtotal	\$14,714	\$18,753	\$20,698	\$1,945	10.37%

COMPANION SERVICES

Individuals Served	1,416	1,416	1,647	231	16.31%
State (Ineligible)	\$0	\$0	\$0	\$0	---
State (Eligible)	\$11,047	\$17,326	\$20,537	\$3,211	18.53%
State Backfill - MA	\$0	\$0	\$53	\$53	---
Fed - MA	\$12,085	\$18,742	\$22,162	\$3,420	18.25%
State 0.00% COLA	\$0	\$0	\$0	\$0	---
Subtotal	\$23,132	\$36,068	\$42,752	\$6,684	18.53%

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
EMPLOYMENT SERVICES						
Individuals Served	1,786	1,786	1,756	(30)	-1.68%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$7,444	\$7,206	\$7,958	\$692	9.52%	
State Backfill - MA	\$0	\$0	\$21	\$21	---	
Fed - MA	\$8,144	\$7,800	\$8,587	\$727	9.25%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$15,588	\$15,126	\$16,566	\$1,440	9.52%	
HOME AND COMMUNITY HABILITATION UNLICENSED SERVICES						
Individuals Served	5,094	5,094	4,991	(103)	-2.02%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$63,873	\$62,735	\$67,501	\$4,766	7.60%	
State Backfill - MA	\$0	\$0	\$176	\$176	---	
Fed - MA	\$69,878	\$67,861	\$72,840	\$4,979	7.34%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$133,751	\$130,596	\$140,517	\$9,921	7.60%	
HOMEMAKER/CHORE						
Individuals Served	78	78	69	(9)	-11.54%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$64	\$119	\$109	(\$10)	-8.40%	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$69	\$129	\$117	(\$12)	-9.30%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$133	\$248	\$226	(\$22)	-8.87%	
LICENSED DAY HABILITATION						
Individuals Served	7,577	7,577	8,094	517	6.82%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$63,386	\$62,289	\$73,114	\$10,825	17.38%	
State Backfill - MA	\$0	\$0	\$190	\$190	---	
Fed - MA	\$69,345	\$67,378	\$78,898	\$11,520	17.10%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$132,731	\$129,667	\$152,202	\$22,535	17.38%	
NURSING SERVICES						
Individuals Served	346	346	537	191	55.20%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$23,417	\$26,094	\$36,801	\$10,707	41.03%	
State Backfill - MA	\$0	\$0	\$95	\$95	---	
Fed - MA	\$25,619	\$28,226	\$39,712	\$11,486	40.69%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$49,036	\$54,320	\$76,608	\$22,288	41.03%	
PREVOCATIONAL SERVICES						
Individuals Served	4,333	4,333	4,229	(104)	-2.40%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$22,709	\$20,582	\$21,186	\$604	2.93%	
State Backfill - MA	\$0	\$0	\$55	\$55	---	
Fed - MA	\$24,845	\$22,263	\$22,862	\$599	2.69%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$47,554	\$42,845	\$44,103	\$1,258	2.94%	
RESIDENTIAL HABILITATION - OTHER SUPPORTS						
Individuals Served	1,937	1,937	2,393	456	23.54%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$32,314	\$53,989	\$69,285	\$15,296	28.33%	
State Backfill - MA	\$0	\$0	\$181	\$181	---	
Fed - MA	\$35,353	\$58,400	\$74,768	\$16,368	28.02%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$67,667	\$112,389	\$144,232	\$31,843	28.33%	

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
SUPPORTS COORDINATION						
Individuals Served	16,593	16,593	17,481	888	5.35%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$24,040	\$25,099	\$25,096	(\$3)	-0.01%	
State Backfill - MA	\$0	\$0	\$65	\$65	---	
Fed - MA	\$26,301	\$27,149	\$27,081	(\$68)	-0.25%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$50,341	\$52,248	\$52,242	(\$6)	-0.01%	
OUTCOMES-BASED VENDOR SERVICES						
Individuals Served	246	246	310	64	20.02%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$408	\$716	\$479	(\$237)	-33.10%	
State Backfill - MA	\$0	\$0	\$1	\$1	---	
Fed - MA	\$446	\$774	\$517	(\$257)	-33.20%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$854	\$1,490	\$997	(\$493)	-33.09%	
RESPIRE SERVICES						
Individuals Served	1,915	1,915	2,041	126	6.58%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$2,046	\$2,119	\$2,511	\$392	18.50%	
State Backfill - MA	\$0	\$0	\$7	\$7	---	
Fed - MA	\$2,238	\$2,292	\$2,710	\$418	18.24%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$4,284	\$4,411	\$5,228	\$817	18.52%	
TRANSPORTATION						
Individuals Served	2,761	2,761	2,692	(69)	-2.50%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$5,074	\$5,044	\$6,017	\$973	19.29%	
State Backfill - MA	\$0	\$0	\$16	\$16	---	
Fed - MA	\$5,552	\$5,456	\$6,493	\$1,037	19.01%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$10,626	\$10,500	\$12,526	\$2,026	19.30%	
FISCAL YEAR 2016-2017 ICF/ID CONVERSION - INDIAN CREEK						
Individuals Served	0	0	7	7	---	
State (Ineligible)	\$0	\$0	\$43	\$43	---	
State (Eligible)	\$0	\$0	\$317	\$317	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$0	\$0	\$342	\$342	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$0	\$702	\$702	---	Provides funding for the conversion of a seven-person home from the ICF/ID program to the Community Intellectual Disabilities Waiver program, effective July 1, 2016.
FISCAL YEAR 2016-2017 ICF/ID CONVERSION - OLD SKIPPACK						
Individuals Served	0	0	6	6	---	
State (Ineligible)	\$0	\$0	\$37	\$37	---	
State (Eligible)	\$0	\$0	\$326	\$326	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$0	\$0	\$351	\$351	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$0	\$714	\$714	---	Provides funding for the conversion of a six-person home from the ICF/ID program to the Community Intellectual Disabilities Waiver program, effective July 1, 2016.
TOTAL FISCAL YEAR 2016-2017 ICF/ID CONVERSIONS						
Individuals Served	0	0	13	13	---	
State (Ineligible)	\$0	\$0	\$80	\$80	---	
State (Eligible)	\$0	\$0	\$643	\$643	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$0	\$0	\$693	\$693	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal Waiver Services	\$0	\$0	\$1,416	\$1,416	---	

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
FISCAL YEAR 2015-2016 ICF/ID CONVERSION - ELWYN/MAIN						
Individuals Served	0	46	46	0	0.00%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides funding for the conversion of 46 Beds from the ICF/ID program to the Community Intellectual Disabilities Waiver program, effective November 1, 2015.
State (Eligible)	\$0	\$1,841	\$2,761	\$920	49.97%	
State Backfill - MA	\$0	\$0	\$10	\$10	---	
Fed - MA	\$0	\$1,995	\$2,983	\$988	49.52%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$3,836	\$5,754	\$1,918	50.00%	
FISCAL YEAR 2015-2016 ICF/ID CONVERSION - BARBER						
Individuals Served	0	24	24	0	0.00%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides funding for the conversion of six four-person homes from the ICF/ID program to the Community Intellectual Disabilities Waiver program, effective July 1, 2015.
State (Eligible)	\$0	\$1,501	\$1,501	\$0	0.00%	
State Backfill - MA	\$0	\$0	\$4	\$4	---	
Fed - MA	\$0	\$1,624	\$1,620	(\$4)	-0.25%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$3,125	\$3,125	\$0	0.00%	
FISCAL YEAR 2015-2016 ICF/ID CONVERSION - CSS/CENTER ROAD						
Individuals Served	0	4	4	0	0.00%	
State (Ineligible)	\$0	\$30	\$30	\$0	0.00%	Provides funding for the conversion of a four-person home from the ICF/ID program to the Community Intellectual Disabilities Waiver program, effective July 1, 2015.
State (Eligible)	\$0	\$269	\$269	\$0	0.00%	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$0	\$290	\$290	\$0	0.00%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$589	\$589	\$0	0.00%	
FISCAL YEAR 2015-2016 ICF/ID CONVERSION - CSS/BROADVIEW						
Individuals Served	0	3	3	0	0.00%	
State (Ineligible)	\$0	\$30	\$36	\$6	20.00%	Provides funding for the conversion of three individuals from the ICF/ID program to the Community Intellectual Disabilities Waiver program, effective September 1, 2015.
State (Eligible)	\$0	\$271	\$325	\$54	19.93%	
State Backfill - MA	\$0	\$0	\$1	\$1	---	
Fed - MA	\$0	\$293	\$351	\$58	19.80%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$594	\$713	\$119	20.03%	
TOTAL FISCAL YEAR 2015-2016 ICF/ID CONVERSIONS						
Individuals Served	0	77	77	0	0.00%	
State (Ineligible)	\$0	\$60	\$66	\$6	10.00%	
State (Eligible)	\$0	\$3,882	\$4,856	\$974	25.09%	
State Backfill - MA	\$0	\$0	\$15	\$15	---	
Fed - MA	\$0	\$4,202	\$5,244	\$1,042	24.80%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal Waiver Services	\$0	\$8,144	\$10,181	\$2,037	25.01%	
FISCAL YEAR 2013-2014 ICF/ID CONVERSION - SKILLS/NEW BLOOMFIELD						
Individuals Served	4	0	0	0	---	Provides funding for the conversion of a four-person home from the ICF/ID program to the Community Intellectual Disabilities Waiver program, effective July 1, 2013.
State (Ineligible)	\$100	\$0	\$0	\$0	---	
State (Eligible)	\$293	\$0	\$0	\$0	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$321	\$0	\$0	\$0	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$714	\$0	\$0	\$0	---	
FISCAL YEAR 2013-2014 ICF/ID CONVERSION - SKILLS/SHELLBARK						
Individuals Served	4	0	0	0	---	Provides funding for the conversion of a four-person home from the ICF/ID program to the Community Intellectual Disabilities Waiver program, effective July 1, 2013.
State (Ineligible)	\$93	\$0	\$0	\$0	---	
State (Eligible)	\$268	\$0	\$0	\$0	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$293	\$0	\$0	\$0	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$654	\$0	\$0	\$0	---	
TOTAL FISCAL YEAR 2013-2014 ICF/ID CONVERSIONS						
Individuals Served	8	0	0	0	---	
State (Ineligible)	\$193	\$0	\$0	\$0	---	
State (Eligible)	\$561	\$0	\$0	\$0	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$614	\$0	\$0	\$0	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal Waiver Services	\$1,368	\$0	\$0	\$0	---	

Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
TOTAL FISCAL YEARS 2013-2014, 2015-2016 AND 2016-2017 ICF/ID CONVERSIONS						
Individuals Served	8	77	90	13	16.88%	
State (Ineligible)	\$193	\$60	\$146	\$86	143.33%	
State (Eligible)	\$561	\$3,882	\$5,499	\$1,617	41.65%	
State Backfill - MA	\$0	\$0	\$15	\$15	---	
Fed - MA	\$614	\$4,202	\$5,937	\$1,735	-41.29%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal Waiver Services	\$1,368	\$8,144	\$11,597	\$3,453	42.40%	
FISCAL YEAR 2015-2016 TRANSFER OF INDIVIDUALS FROM THE BASE PROGRAM TO THE WAIVER PROGRAM						
Individuals Served	0	8	8	0	0.00%	
State (Ineligible)	\$0	\$29	\$29	\$0	0.00%	Provides funding for the transfer of eight individuals from the Base Program to the Waiver Program, effective July 1, 2015.
State (Eligible)	\$0	\$517	\$517	\$0	0.00%	
State Backfill - MA	\$0	\$0	\$2	\$2	---	
Fed - MA	\$0	\$560	\$558	(\$2)	-0.36%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$1,106	\$1,106	\$0	0.00%	
FISCAL YEAR 2014-2015 TRANSFER OF INDIVIDUALS FROM THE BASE PROGRAM TO THE WAIVER PROGRAM						
Individuals Served	29	28	28	0	0.00%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides funding for the transfer of 28 individuals from the Base Program to the Waiver Program, effective January 1, 2015.
State (Eligible)	\$1,069	\$2,060	\$2,060	\$0	0.00%	
State Backfill - MA	\$0	\$0	(\$3)	(\$3)	---	
Fed - MA	\$1,150	\$2,228	\$2,231	\$3	0.13%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$2,219	\$4,288	\$4,288	\$0	0.00%	
FISCAL YEAR 2016-2017 INITIATIVE: COMMUNITY OPPORTUNITIES - DISABILITIES RIGHTS NETWORK (DRN) SETTLEMENT/OLMSTEAD						
Individuals Served	0	0	75	75	---	
State (Ineligible)	\$0	\$0	\$200	\$200	---	Provides funding for 75 individuals to transfer from State Centers for the Intellectually Disabled to the Community Waiver Program. Funding assumes transfers during and throughout Fiscal Year 2016-2017. Individuals will be eligible for enhanced Federal earnings through the Money Follows Person grant for one year from the date of their transfer.
State (Eligible)	\$0	\$0	\$755	\$755	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$0	\$0	\$2,378	\$2,378	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$0	\$3,333	\$3,333	---	
FISCAL YEAR 2015-2016 INITIATIVE: HUMAN SERVICES WAITING LIST REDUCTIONS - DISABILITIES RIGHTS NETWORK (DRN) SETTLEMENT/OLMSTEAD						
Individuals Served	0	75	75	0	0.00%	
State (Ineligible)	\$0	\$200	\$686	\$486	243.00%	Provides funding for 75 individuals to transfer from State Centers for the Intellectually Disabled to the Community Waiver Program. Funding assumes transfers during and throughout Fiscal Year 2015-2016. Individuals will be eligible for enhanced Federal earnings through the Money Follows Person grant for one year from the date of their transfer.
State (Eligible)	\$0	\$752	\$2,578	\$1,826	242.82%	
State Backfill - MA	\$0	\$0	\$752	\$752	---	
Fed - MA	\$0	\$2,381	\$7,411	\$5,030	211.26%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$3,333	\$11,427	\$8,094	242.84%	
FISCAL YEAR 2014-2015 INITIATIVE: EXPANDED SERVICES FOR OLDER PENNSYLVANIANS AND INDIVIDUALS WITH DISABILITIES - DRN SETTLEMENT/OLMSTEAD						
Individuals Served	50	50	0	(50)	-100.00%	
State (Ineligible)	\$151	\$443	\$0	(\$443)	-100.00%	Provides funding for 50 individuals to transfer from State Centers for the Intellectually Disabled to the Community Waiver Program. Individuals will be eligible for enhanced Federal earnings through the Money Follows Person grant for one year from the date of their transfer.
State (Eligible)	\$571	\$2,242	\$0	(\$2,242)	-100.00%	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$1,799	\$4,695	\$0	(\$4,695)	-100.00%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$2,521	\$7,380	\$0	(\$7,380)	-100.00%	
FISCAL YEAR 2013-2014 INITIATIVE: EXPANDED SERVICES FOR INDIVIDUALS WITH DISABILITIES - DRN SETTLEMENT/OLMSTEAD						
Individuals Served	100	0	0	0	---	
State (Ineligible)	\$2,019	\$0	\$0	\$0	---	Provides funding for 100 individuals to transfer from State Centers for the Intellectually Disabled to the Community Waiver Program. Individuals will be eligible for enhanced Federal earnings through the Money Follows Person grant for one year from the date of their transfer.
State (Eligible)	\$5,076	\$0	\$0	\$0	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$12,392	\$0	\$0	\$0	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$19,487	\$0	\$0	\$0	---	
TOTAL ICF/ID FACILITY AND STATE CENTER CONVERSIONS/TRANSFERS						
Individuals Served	187	238	276	38	15.97%	
State (Ineligible)	\$2,363	\$732	\$1,061	\$329	44.95%	
State (Eligible)	\$7,277	\$9,453	\$11,409	\$1,956	20.69%	
State Backfill - MA	\$0	\$0	\$766	\$766	---	
Fed - MA	\$15,955	\$14,066	\$18,515	\$4,449	31.63%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal Waiver Services	\$25,595	\$24,251	\$31,751	\$7,500	30.93%	

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
FISCAL YEAR 2014-2015 EXTENDED STATE PLAN SERVICES IN ODP AND OLTL WAIVERS						
Individuals Served	0	0	0	0	---	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides for the prior authorization of extended State Plan services begun in Fiscal Year 2014-2015 for Office of Developmental Programs and Office of Long-Term Living Waivers
State (Eligible)	(\$334)	(\$334)	(\$334)	\$0	0.00%	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	(\$397)	(\$397)	(\$397)	\$0	0.00%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	(\$731)	(\$731)	(\$731)	\$0	0.00%	
FISCAL YEAR 2016-2017 INITIATIVE: EXPANDED SERVICES FOR INDIVIDUALS WITH DISABILITIES - WAITING LIST						
Individuals Served	0	0	250	250	---	
State (Ineligible)	\$0	\$0	\$2,300	\$2,300	---	Provides an average of 6-month funding for home and community-based services for an additional 250 individuals from the waiting list who will be enrolled in the Waiver during Fiscal Year 2016-2017
State (Eligible)	\$0	\$0	\$6,425	\$6,425	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$0	\$0	\$6,900	\$6,900	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$0	\$15,625	\$15,625	---	
FISCAL YEAR 2015-2016 INITIATIVE: HUMAN SERVICES WAITING LIST REDUCTIONS - WAITING LIST						
Individuals Served	0	400	400	0	0.00%	
State (Ineligible)	\$0	\$3,680	\$1,230	(\$2,450)	-66.58%	Provides annualized funding for the additional 400 individuals from the emergency waiting list being enrolled in the Waiver during Fiscal Year 2015-2016.
State (Eligible)	\$0	\$10,231	\$15,044	\$4,813	47.04%	
State Backfill - MA	\$0	\$0	\$54	\$54	---	
Fed - MA	\$0	\$11,089	\$16,250	\$5,161	46.54%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$25,000	\$32,578	\$7,578	30.31%	
FISCAL YEAR 2014-2015 INITIATIVE: EXPANDED SERVICES FOR OLDER PENNSYLVANIANS AND INDIVIDUALS WITH DISABILITIES - WAITING LIST						
Individuals Served	400	400	400	0	0.00%	
State (Ineligible)	\$3,126	\$1,260	\$1,521	\$261	20.71%	Provides annualized funding for 400 individuals from the emergency waiting list.
State (Eligible)	\$4,303	\$16,309	\$17,116	\$807	4.95%	
State Backfill - MA	\$0	\$0	\$44	\$44	---	
Fed - MA	\$5,015	\$17,642	\$18,470	\$829	4.69%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$12,444	\$35,211	\$37,151	\$1,940	5.51%	
FISCAL YEAR 2013-2014 INITIATIVE: EXPANDED SERVICES FOR INDIVIDUALS WITH DISABILITIES - WAITING LIST						
Individuals Served	380	380	373	(7)	-1.84%	
State (Ineligible)	\$707	\$928	\$1,062	\$134	14.44%	Provides continued funding for 373 individuals that were enrolled in the Waiver program from the emergency waiting list
State (Eligible)	\$10,326	\$12,799	\$13,659	\$860	6.72%	
State Backfill - MA	\$0	\$0	\$35	\$35	---	
Fed - MA	\$11,296	\$13,845	\$14,739	\$894	6.46%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$22,329	\$27,572	\$29,495	\$1,923	6.97%	
WAITING LIST - FISCAL YEAR 2012-2013						
Individuals Served	430	430	0	(430)	-100.00%	
State (Ineligible)	\$1,056	\$1,177	\$0	(\$1,177)	-100.00%	Fiscal Year 2016-2017 projected expenditures associated with these individuals are included in service costs listed above.
State (Eligible)	\$14,940	\$14,454	\$0	(\$14,454)	-100.00%	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$16,344	\$15,636	\$0	(\$15,636)	-100.00%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$32,340	\$31,267	\$0	(\$31,267)	-100.00%	
MEDICAL AND THERAPEUTIC VACANCY FACTOR EXCEPTION						
State (Ineligible)	\$10,500	\$10,500	\$0	(\$10,500)	-100.00%	Provides funding for provider requests for exceptions to the statewide vacancy factor, which was incorporated into the Waiver-funded residential habilitation rates, effective July 1, 2012.
State (Eligible)	\$0	\$0	\$0	\$0	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$0	\$0	\$0	\$0	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$10,500	\$10,500	\$0	(\$10,500)	-100.00%	Providers who filed for exceptions did not meet the exception standard necessary to receive compensatory rate adjustments.
TOTAL CONSOLIDATED WAIVER SERVICES						
Unduplicated Individuals Served	17,593	18,153	18,491	338	1.86%	
State (Ineligible)	\$115,835	\$116,322	\$108,738	(\$7,584)	-6.52%	
State (Eligible)	\$886,983	\$963,710	\$1,033,162	\$79,452	8.33%	
State Backfill - MA	\$0	\$0	\$3,421	\$3,421	---	
Fed - MA	\$978,647	\$1,037,544	\$1,123,126	\$85,582	8.25%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal Waiver Services	\$1,981,465	\$2,107,576	\$2,268,447	\$160,871	7.63%	

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
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PERSON/FAMILY DIRECTED SUPPORTS WAIVER

DAY AND SUPPORT SERVICES - P/FDS WAIVER MAINTENANCE

Individuals Served	11,195	11,195	12,248	1,053	9.41%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$102,076	\$101,468	\$108,032	\$6,564	6.47%	
State Backfill - MA	\$0	\$0	\$281	\$281	---	
Fed - MA	\$111,674	\$109,758	\$116,577	\$6,819	6.21%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$213,750	\$211,226	\$224,890	\$13,664	6.47%	

FISCAL YEAR 2016-2017 INITIATIVE: EXPANDED SERVICES FOR INDIVIDUALS WITH DISABILITIES - SPECIAL EDUCATION GRADUATES

Individuals Served	0	0	500	500	---	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides an average of 6-month funding for home and community-based services for an additional 500 special education graduates who will be enrolled in the Waiver during Fiscal Year 2016-2017.
State (Eligible)	\$0	\$0	\$2,773	\$2,773	---	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$0	\$0	\$2,977	\$2,977	---	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$0	\$5,750	\$5,750	---	

FISCAL YEAR 2015-2016 INITIATIVE: HUMAN SERVICES WAITING LIST REDUCTIONS - SPECIAL EDUCATION GRADUATES

Individuals Served	0	600	600	0	0.00%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides annualized funding for an additional 600 special education graduates being enrolled in the Waiver during Fiscal Year 2015-2016.
State (Eligible)	\$0	\$4,967	\$5,007	\$40	0.81%	
State Backfill - MA	\$0	\$0	\$18	\$18	---	
Fed - MA	\$0	\$5,383	\$5,408	\$25	0.46%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$10,350	\$10,433	\$83	0.80%	

FISCAL YEAR 2014-2015 INITIATIVE: EXPANDED SERVICES FOR OLDER PENNSYLVANIANS AND INDIVIDUALS WITH DISABILITIES - SPECIAL EDUCATION GRADUATES

Individuals Served	700	700	700	0	0.00%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides annualized funding for an additional 700 special education graduates.
State (Eligible)	\$4,849	\$6,330	\$6,483	\$153	2.42%	
State Backfill - MA	\$0	\$0	\$17	\$17	---	
Fed - MA	\$5,651	\$6,847	\$6,996	\$149	2.18%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$10,500	\$13,177	\$13,496	\$319	2.42%	

FISCAL YEAR 2013-2014 INITIATIVE: EXPANDED SERVICES FOR INDIVIDUALS WITH DISABILITIES - SPECIAL EDUCATION GRADUATES

Individuals Served	700	700	518	(182)	-26.00%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides continued funding for special education graduates who began receiving services under the initiative.
State (Eligible)	\$4,417	\$4,800	\$4,816	\$16	0.33%	
State Backfill - MA	\$0	\$0	\$12	\$12	---	
Fed - MA	\$4,833	\$5,192	\$5,197	\$5	0.10%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$9,250	\$9,992	\$10,025	\$33	0.33%	

SPECIAL EDUCATION GRADUATES - FISCAL YEAR 2012-2013

Individuals Served	700	700	0	(700)	-100.00%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Fiscal Year 2016-2017 projected expenditures associated with these individuals are included in service costs listed above.
State (Eligible)	\$5,867	\$5,621	\$0	(\$5,621)	-100.00%	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Fed - MA	\$6,419	\$6,080	\$0	(\$6,080)	-100.00%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$12,286	\$11,701	\$0	(\$11,701)	-100.00%	

TOTAL PERSON/FAMILY DIRECTED SUPPORT WAIVER SERVICES

Individuals Served	13,040	13,640	14,140	500	3.67%	
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$117,209	\$123,186	\$127,111	\$3,925	3.19%	
State Backfill - MA	\$0	\$0	\$328	\$328	---	
Fed - MA	\$128,577	\$133,260	\$137,155	\$3,895	2.92%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal Waiver Services	\$245,786	\$256,446	\$264,594	\$8,148	3.18%	

TOTAL GRANT & SUBSIDY

Individuals Served	30,633	31,793	32,631	838	2.64%	
Subtotal State	\$1,120,027	\$1,193,218	\$1,269,011	\$75,793	6.35%	
Subtotal State Backfill - MA	\$0	\$0	\$3,749	\$3,749	---	
Subtotal Federal - MA	\$1,107,224	\$1,170,804	\$1,260,281	\$89,477	7.64%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal Grant & Subsidy	\$2,227,251	\$2,364,022	\$2,533,041	\$169,019	7.15%	

\$ Amounts in Thousands	2014-2015 Actual	2015-2016 Available	2016-2017 Governor's Recommended	2016-2017 Gov's Recomm vs 2015-2016 Available	Percent Change	Notes
FISCAL YEAR 2014-2015 INITIATIVE: BALANCING INCENTIVE PROGRAM						
State (Eligible)	(\$42,176)	(\$10,544)	\$0	\$10,544	-100.00%	
Fed - MA	\$42,176	\$10,544	\$0	(\$10,544)	-100.00%	
Subtotal	\$0	\$0	\$0	\$0	---	
FISCAL YEAR 2012-2013 INITIATIVE: ADULT PROTECTIVE SERVICES LEGISLATION						
State (Ineligible)	\$1,481	\$1,481	\$1,481	\$0	0.00%	Provides funding for the implementation of provisions under adult protective services legislation.
State (Eligible)	\$725	\$730	\$730	\$0	0.00%	
State Backfill - MA	\$0	\$0	\$2	\$2	---	
Fed - MA	\$794	\$789	\$787	(\$2)	-0.25%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$3,000	\$3,000	\$3,000	\$0	0.00%	
LITIGATION SETTLEMENT COSTS RELATED TO PROVIDER APPEALS						
State (Ineligible)	\$0	\$0	\$0	\$0	---	
State (Eligible)	\$5,995	\$9,046	\$6,020	(\$3,026)	-33.45%	
State Backfill - MA	\$0	\$0	\$16	\$16	---	
Federal MA	\$6,569	\$9,786	\$6,496	(\$3,290)	-33.62%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$12,554	\$18,832	\$12,532	(\$6,300)	-33.45%	
FISCAL WORKGROUP LITIGATION RESERVE						
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides funding for a litigation reserve for the potential settlement agreement with stakeholders regarding the vacancy and retention factors and the suspension of a rate adjustment factor. Fiscal Year 2016-2017 projected expenditures associated with this settlement agreement are included with service costs listed above.
State (Eligible)	\$0	\$15,701	\$0	(\$15,701)	-100.00%	
State Backfill - MA	\$0	\$0	\$0	\$0	---	
Federal MA	\$0	\$16,989	\$0	(\$16,989)	-100.00%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$32,690	\$0	(\$32,690)	-100.00%	
SIGN LANGUAGE INTERPRETER SERVICES FOR INDIVIDUALS WITH INTELLECTUAL DISABILITIES						
Individuals Served	0	0	0	0	---	
State (Ineligible)	\$0	\$0	\$0	\$0	---	Provides funding for sign language interpreter services for deaf individuals with intellectual disabilities as a result of the Harry M v DPW litigation settlement agreement
State (Eligible)	\$2,347	\$2,361	\$2,361	\$0	0.00%	
State Backfill - MA	\$0	\$0	\$6	\$6	---	
Federal MA	\$2,567	\$2,553	\$2,547	(\$6)	-0.24%	
State 0.00% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$4,914	\$4,914	\$4,914	\$0	0.00%	
ROLL BACK ADJUSTMENT FOR CYCLE 53 OF FISCAL YEAR 2013-2014						
State	(\$11,771)	\$0	\$0	\$0	---	Represents partial rollback of cycle 53 costs to utilize available Fiscal Year 2013-2014 State funds.
Federal MA	(\$13,554)	\$0	\$0	\$0	---	
Subtotal	(\$25,325)	\$0	\$0	\$0	---	
STATE FUNDS ADJUSTMENT						
State	\$0	\$0	\$0	\$0	---	
EXCESS FEDERAL						
Fed - MA	\$2,435	\$0	\$0	\$0	---	
PRUDENT PAY ADJUSTMENT						
State	(\$1,741)	\$0	\$0	\$0	---	Provides for the payment of three additional cycles during September 2014, resulting from the suspension of prudent pay to maximize Federal earnings that can be achieved prior to FMAP change October 1, 2014.
Federal MA	\$1,741	\$0	\$0	\$0	---	
Subtotal	\$0	\$0	\$0	\$0	---	
BUDGETARY RESERVE						
State	\$0	\$0	\$0	\$0	---	
Federal MA	\$65,050	\$68,186	\$65,808	(\$2,378)	-3.49%	
Subtotal	\$65,050	\$68,186	\$65,808	(\$2,378)	-3.49%	
GRAND TOTALS						
Unduplicated Individuals Served	30,633	31,793	32,631	838	2.64%	
Total State	\$1,074,887	\$1,211,993	\$1,279,603	\$67,610	5.58%	
Total State Backfill - MA	\$0	\$0	\$3,773	\$3,773	---	
Total 0.00% COLA	\$0	\$0	\$0	\$0	---	
Total Federal	\$1,214,992	\$1,279,651	\$1,335,919	\$56,268	4.40%	
TOTAL	\$2,289,879	\$2,491,644	\$2,619,295	\$127,651	5.12%	
State	\$1,074,887	\$1,211,993	\$1,283,376	\$71,383	5.89%	
Fed - MA	\$1,214,992	\$1,279,651	\$1,335,919	\$56,268	4.40%	
Total State/Fed/Other	\$2,289,879	\$2,491,644	\$2,619,295	\$127,651	5.12%	

INTELLECTUAL DISABILITIES – COMMUNITY WAIVER PROGRAM

PROGRAM STATEMENT

The Mental Health and Intellectual Disability Act of 1966 mandated the availability of community services for citizens with an intellectual disability and their families. The statute created county administered community programs in Pennsylvania for individuals with an intellectual disability.

In 1966, all services were provided in state institutions which cared for over 13,000 people with an intellectual disability. At the end of Fiscal Year 2014-2015, there were 948 people remaining in State Centers while more than 52,000 people received services in the community. This change has followed the national trend to provide community services as an alternative to institutional care. Changes in federal and state laws, as well as new funding initiatives, have created education programs, family support services, employment training and home and community-based services for people with disabilities. These trends are built on the recognized values of family relationships and participation in community life.

The Secretary of the United States Department of Health and Human Services is authorized, under Section 1915(c) of the Social Security Act, to waive certain Medicaid statutory requirements. These waivers allow Pennsylvania to provide home and community-based services for individuals with an intellectual disability diagnosis as an alternative to institutional care.

The first Home and Community-Based Services Waiver for individuals with an intellectual disability in Pennsylvania began in the Philadelphia area in 1983. This was followed by two additional Waivers that covered other areas of the state. In 1987, the three earlier Waivers were combined into the statewide Consolidated Waiver. The Person/Family Directed Supports (P/FDS) Waiver began in 1999.

The Intellectual Disability - Community Waiver Program provides funding for Consolidated Waiver and P/FDS Waiver enrolled individuals age three and older who would otherwise require the level of care provided in an Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/ID).

Examples of services available through the Community Waiver Program include community residential programs provided in licensed or unlicensed homes across the Commonwealth, as well as the option of family living which is available to people who choose to live as part of another family. In family living, one or two people receive services in a licensed or unlicensed family home of an unrelated adult. Additional eligible services provided include: habilitation (residential, in-home, day, prevocational and supported employment), respite, transportation, environmental accessibility adaptations, homemaker/chore, adaptive appliances and equipment, specialized therapies (physical, occupational, speech, hearing, language, visual/mobility, and behavioral), nursing services, and supports coordination.

Beginning July 1, 2009, payments for services provided to individuals enrolled in the Waiver program are made directly from the State Treasury to providers based on established fee-for-service rates utilizing the Provider Reimbursement and Operations Management Information System.

The Intellectual Disabilities - Community Waiver Program's budget provides more than \$2.6 billion in annual funding for Consolidated Waiver and P/FDS Waiver enrolled individuals. During Fiscal Year 2014-2015, 17,593 persons received Consolidated Waiver services and 13,040 persons received P/FDS Waiver services.

FISCAL YEAR 2016-2017 INITIATIVE – EXPANDED SERVICES FOR INDIVIDUALS

The Governor's Executive Budget for Fiscal Year 2016-2017 provides a total of \$24.708 million (\$11.498 million in state funds) in the Intellectual Disabilities – Community Waiver Program for an initiative entitled "Expanded Services for Individuals." This initiative supports the Department's mission to help individuals with intellectual disabilities achieve "Everyday Lives." There are two major components to this initiative, as follows:

Providing Services to Individuals on County Waiting Lists

This initiative includes a total of \$15.625 million (\$8.725 million in state funds) for an average of six-months funding for 250 individuals on the emergency waiting list to enroll in the Waiver program during Fiscal Year 2016-2017. The term "Emergency Waiting List" refers to individuals who are classified as "Emergency" on the Prioritization of Urgency of Needs list, which means they require supports immediately or within six months. As of December 31, 2015, there were 4,723 individuals with this emergency classification. Absent the provision of community residential services to these individuals, they would otherwise typically be served in a State Center for the Intellectually Disabled.

Special Education Graduates

This initiative also includes a total of \$5.750 million (\$2.773 million in state funds) for an average of six-months funding for day and support services for an additional 500 special education graduates during Fiscal Year 2016-2017. This will enable them to retain the valuable skills learned in school and thereby reduce the potential for more expensive, lengthy services over time as well as assist in their transition from school to work.

FISCAL YEAR 2016-2017 INITIATIVE – COMMUNITY OPPORTUNITIES

Providing Community Placement Opportunities for State Center Residents

This initiative includes funding totaling \$3.333 million (\$0.955 million in state funds) for home and community-based services for 75 individuals currently residing in State Centers for the Intellectually Disabled who do not oppose placement in the community. It is a key piece of the Department's plan to meet the requirements of the United States Supreme Court's Olmstead Decision, which requires states to have comprehensive, effectively working plans ensuring that individuals with disabilities receive services in the most integrated setting appropriate to their needs. It also fulfills the requirements necessary to comply with a settlement agreement between the Disabilities Rights Network, on behalf of individuals residing in State Centers for the Intellectually Disabled, and the Department of Human Services.